



## **PROGRAM UNDERWRITERS**

## SOLID WASTE PROGRAM APPLICATION

INSTRUCTIONS:	
Please print or type clearly.	<ul> <li>Answer all questions completely. If any question(s) does not apply, print or type "N/A" in the space provided</li> </ul>
• This application must be signed and dated by an authorized Owner, Principal, Partner, Director or Risk Manager of the Named Insured.	• If additional space is needed to answer any question, attach details on a separate sheet and reference the applicable question number.
PLEASE ATTACH TO THIS APPLICATION:	
<ul> <li>5 years of currently valued auto Liability and Physical Damage loss runs</li> </ul>	<ul> <li>Motor vehicle records for all drivers of owned or scheduled vehicles &amp; regular drivers of hired and/or non-owned vehicles.</li> </ul>
Schedule of vehicles and drivers list; Excel spreadsheet preferred	• Audited financials and/or 10-Ks for the past two (2) fiscal years.
ACORD Auto Liability Application	List of Subsidiaries or other related entities also requesting coverage.

1. APPLICANT INFORMATION							
Applicant Name:							
Mailing Address:							
City:		State:	Zip Code:				
Name of Contact:		Title:					
Telephone:		E-Mail:					
Fax:		Website:					
FEIN:	MC Docket #:	DOT #:					
Date your business was established:							
Firm Type:  Partnership  Corporation  Joint Venture  LLC/LLP  Other:							

2. Producer Information		
Producer:		
Address:		
City:	State:	Zip Code:
Contact:	Title:	
Telephone:	Fax:	
Email:	Website:	
Agency License Number:	License State:	

3. Revenue, Payroll, and Auto Exposure History								
	Current Yr:	1 <sup>st</sup> Prior Yr:	2 <sup>nd</sup> Prior Yr:	3 <sup>rd</sup> Prior Yr:				
Gross Revenues:								
Payroll:								
No. of Power Units:								
No. of Trailers:								

4. OPERATIONS							
Description of Oper	ations:						
Type of Collection:	Residen	tial		Commercial		Construction	
List Number of Vehi	cles by Type:	Front	End L	oaders:	Rea	r Loaders:	Side Loaders:
Roll-Offs:	Recycle Vehi	cles:		Box Vans:		Light & Medium Du	ty Trucks:
List Number of Trac	tors & Semi Tr	ailers:	Trac	ctors:		Box Trailers:	End Dump:
Walking Floor Traile	rs:		Roll	-Off Trailers:		Flat Bed Trailers:	<u> </u>
Radius Breakdown:	(Must Equal 10	00%)					
0-50 miles:	51-200 mi	les:		+200 mile			
Do your operations	include haulin	g waste	from	n transfer statio	n to l	andfill? 🗌 Yes	□ No
Do you own/operat		-		l Recovery Facil	ity	Transfer Station	Recycling
Do you perform any If yes, please descril		• .					🗆 Yes 🗌 No
	Do you utilize subcontractors?						
When working with Obtain certificates of Allow subcontractor Require to be name Obtain Waivers of S Obtain Hold Harmle Verify all hired subc	f insurance? s to work with d as an Additio ubrogation? ss Agreements	nout pro phal Insi	ovidin ured (	on the subcont	racto	rs' policies?	YesNoYesNoYesNoYesNoYesNoYesNoYesNoYesNo

5. Driver Hiring								
Number of Drivers:	Number of drivers <25 years	of age:	Number of drivers > 65 years of age:					
Number of new drivers hir	Minimum yea	rs of driving	experience for new hires:					
Minimum number of years								
Are selection and qualification	tion standards for drivers in w	vriting?	Yes 🗆 I	No				
Are MVRs checked on all c How often? Please descril			Yes 🗆 I	No				

Describe who reviews and approves MVRs:
When reviewing MVRs, what is the number of allowable violations/citations in the prior 3 years?
When reviewing MVRs, what is the number of allowable accidents in the prior 3 years?
When reviewing MVRs, what is the <i>combined</i> number of allowable violations/citations or accidents in the prior 3 years?
Do you follow the minimum Federal standards for CDL drivers?
What are types of offenses which will result in an automatic driver disqualification?
Is there a written driver training program?
Who administers driver hiring & new driver training? (name & position):
New driver orientation consists of the following training: (please select)         Classroom training       Ride along with management       Equipment familiarization         Review of safety policies       Ride along with experienced driver       Handling commodities         Review of company rules       Accident reporting       Other:
Is there a written distracted driver policy, including cell phone and other mobile device use? Yes No
If yes, please provide a copy.

6. Safety					
Do you have a written safety policy? If yes, please provide a copy of the table of	□ Yes		🗆 No		
Do you have a dedicated safety director e	employed?	🗌 Ye	s	🗆 No	
Who is responsible for safety at the comp	oany? (name & position):				
How often are safety meetings?					
Are safety meetings mandatory?		🗆 Yes	5		)
Do you have safety award/incentive prog	🗆 Yes	S		0	
Do you have a written disciplinary policy? If yes, please provide a copy.	□ Yes		□ N	0	
Are employees able to take company veh utilize them for personal use?	□ Yes		□ N	0	
Do you have an accident investigation pro	ocess?	🗆 Yes		□ N	0
Do you have an accident kit in each vehic If so, please describe it?	□ Yes		□ N	0	
Do you maintain an accident register?		□ Yes		□ N	0
Do you conduct periodic accident analysis	🗆 Yes		□ N	0	
Identify % of vehicles equipped with the following below:					
Visible & audible hoist alarms	Spill Kits		Back up	alarms	

Fender spot monitoring	Fire extinguishers	Back up cameras			
Automated event recorders	Battery Disconnect	GPS Tracking		icking	
Do you utilize telematic software for your fleet?			S	🗆 No	)
Do you have a Hazmat/Spill response plan?		🗆 Ye	S	🗆 No	)
If so, please provide a copy.					

7. Maintenance						
Do you have a written maintenance program?	🗆 Yes	🗆 No				
Do you have in house mechanics?	□ Yes	🗆 No				
Do you have a maintenance manager?	Do you have a maintenance manager?					
How often are Pre/Post Trip inspections conducted?						
How often are brakes inspected?						
How long are maintenance records retained?						
What is your vehicle replacement policy?						
If you do not have a facility on-site, please describe your ma	intenance program:					

8. Addi	tional Owned Businesses	and Locations					
Please	ist any additional owned	businesses and description of operations					
1.	Name:	Descrip of Ops:					
2.	Name:	Descrip of Ops:					
3.	Name:	Descrip of Ops:					
4.	Name:	Descrip of Ops:					
5.	Name:	Descrip of Ops:					
If more	space is needed, please	provide separate list					
Please	ist any additional owned/	leased locations and description of operations					
1.	Location:	Descrip of Ops:					
2.	Location:	Descrip of Ops:					
3.	Location:	Descrip of Ops:					
4.	Location:	Descrip of Ops:					
5.	Location:	Descrip of Ops:					
If more	If more space is needed, please provide separate list						

9. General Liability Section		
Is the owned property completely fenced?	🗆 Yes	🗆 No
Are security cameras or after-hours security in-place?	🗆 Yes	🗆 No
Is there an active railroad sidetrack/spur on premises or adjacent to premises?	🗆 Yes	🗆 No
Are visitors to operations areas provided safety training, PPE and employee escort?	🗆 Yes	🗆 No
Are pedestrian walkways and customer drop-off points clearly marked?	🗆 Yes	🗆 No
Are operational areas secured by physical means to prevent unauthorized entry?	🗆 Yes	🗆 No
Are there any reported injuries to third-parties on your premises in the last five years? If yes, please provide details:	□ Yes	🗆 No
Do you work on miscellaneous equipment that is not owned by the entity?	🗆 Yes	🗆 No
Do you lease property or mobile equipment to others?	🗆 Yes	🗆 No
Do you sell any product(s) either wholesale or resale?	🗆 Yes	🗆 No
Have you ever been named as a Potential Responsible Party (PRP) or otherwise been cited for illegal or unlawful dumping of waste?	□ Yes	🗆 No

10. Business Practices		
Do you ever perform Contracting Operations within 50' of a railroad?	🗆 Yes	□ No
Does your firm have any aircraft, watercraft or drone exposures? If yes, please describe:	□ Yes	🗆 No
Does your firm have written quality control procedures for any materials that are recycled and/or held for sale?Image: Content sectorIf yes, please include table of contents with this application.Image: Content sectorImage: Content sector		🗆 No
Does your firm have an in-house continuing education program? If yes, please describe.	□ Yes	🗆 No

11. Claims		
Have any claims been made within the past 5 years against the applicant or reported under any Commercial General Liability, Contractors Pollution Liability, Commercial Auto, or Workers Compensation policies? If yes, please provide details.	□ Yes	🗆 No
Are you aware of any fact, circumstance or situation which could result in a claim being made against you or any other entity for which coverage is being requested? If yes, please provide details (use additional paper if necessary)	□ Yes	🗆 No
Has any staff member, employee, officer and/or company been the subject of disciplinary action, legal proceeding and/or charged with a felony or crime relating to your operations? If yes, please describe.	□ Yes	🗆 No

12. Compliance History & Future Plans					
During the past five (5) years, have you been cited or prosecuted for any violation of any applicable environmental law and/or federal, state or local regulation arising from the release or spill of hazardous substances, hazardous waste or any other pollutants? If yes, please provide details.			□ Yes	🗆 No	
Are there any statutes, standards, or other city, state and/or federal regulations relating to the protection of the environment with which you cannot at the present comply with? If yes, please provide details.		🗆 Yes	🗆 No		
Have you been subject to third party claims as a result of a pollution event from a non- owned disposal facility? If yes, please provide details.		🗆 Yes	🗆 No		
Do you have an outside contractor, firm or one person who is responsible for environmental and/or compliance management services for your own operations? If yes, please provide:		□ Yes	🗆 No		
Name of Firm:	Contact:				
Phone:	Email:				

13. Notice to Applicant				
The applicant represents that the above statements and facts are true and that no material facts have been				
suppressed or misstated. Completion of the s form does not bind coverage. Applicant's acceptance of the				
company's quotation is required prior to binding coverage and policy issuance. The coverage applied for is solely as				
stated in the policy and any endorsement thereto, which provides coverage for cleanup costs, bodily injury and				
property damage liability coverage for claims first made against the insured and reported to the insurer, in writing,				
during the policy period. All written statements and materials furnished to the company in conjunction with this				
application are hereby incorporated by the reference into this application and made a part hereof. The applicant				
	further acknowledges that the answers provided herein are based on a reasonable inquiry and/or investigation and			
that any protocol or policy furnished in conjunction with this application is current and enforced.				
Applicant Signature				
Printed Name				
Title				
Date				
Agent/Broker Firm				
Broker Address				

## FRAUD WARNING

**NOTICE TO ALABAMA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

**NOTICE TO ARKANSAS APPLICANTS**: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO CALIFORNIA APPLICANTS:** For your protection California law requires the following to appear on this form: Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

**NOTICE TO COLORADO APPLICANTS:** It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of regulatory agencies.

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS:** WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**NOTICE TO FLORIDA APPLICANTS:** Any person who knowingly and with intent to injure, defraud or deceive any insurance company files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

**NOTICE TO KENTUCKY APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**NOTICE TO LOUISIANA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO MAINE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

**NOTICE TO MARYLAND APPLICANTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on a application for an insurance policy is subject to criminal and civil penalties.

**NOTICE TO NEW MEXICO APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

**NOTICE TO NEW YORK APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**NOTICE TO OHIO APPLICANTS:** Any person who, with intent to defraud or knowing that he is facilitation a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**NOTICE TO OKLAHOMA APPLICANTS:** WARNING. Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**NOTICE TO P;ENNSYLVANIA APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact materials thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**NOTICE TO RHODE ISLAND APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and denial of insurance benefits.

**NOTICE TO TENNESSEE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

## **FRAUD WARNING**

**NOTICE TO VIRGINIA APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

**NOTICE TO WASHINGTON APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purposes of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

**NOTICE TO ALL OTHER STATE APPLICANTS:** Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or, for the purpose of misleading, conceals information concerning any fact material thereto, may commit a fraudulent insurance act which is a crime in many states.

The applicant represents that the above statements and facts are true and that no material facts have been suppressed or misstated.

Completion of this form does not bind coverage. Applicant's acceptance of the company's quotation is required prior to binding coverage and policy issuance.

All written statements and materials furnished to the company in conjunction with this application are hereby incorporated by reference into this application and made a part hereof.