

ACCOUNTANTS & CONSULTANTS PROFESSIONAL LIABILITY Lateral Hire Supplement

*This supplement is to be completed with information about the lateral hire.
A copy of the lateral hire's resume must be attached.*

1. Name of lateral hire: _____

2. Anticipated start date: _____

3.

<u>Date of Birth</u>	<u>Month and Year Qualified</u>	<u>Years in Private Practice</u>	<u>Primary Area of Practice (PAP)</u>	<u>% of Billing from PAP</u>	<u>Location of Practice (State)</u>

4. If not part of the attached resume, describe all areas of accounting or consulting in which the lateral hire has practiced during the past *five years*:

5. In the past *five years*, has the lateral hire ever been the subject of a professional liability claim or malpractice lawsuit? Yes No

a. If "YES" complete a **Claim Supplement** for each matter

6. Is the lateral hire aware of any incidents, circumstances, acts, errors, omissions, fee disputes, or client bankruptcies that could result in a professional liability claim against them? Yes No

a. If "YES" complete a **Claim Supplement** for each matter

7. Has any insurance carrier ever canceled or refused to renew any professional liability policy for the lateral hire? Yes No

a. If "YES" describe: _____

8. Has the lateral hire ever been refused admission to practice, suspended, reprimanded, sanctioned, or held in contempt by any court, administrative agency, or regulatory body? Yes No

a. If "YES" describe: _____

9. In the past *five years*, has the lateral hire ever had a disciplinary complaint made against them to any court, administrative agency, or regulatory body? Yes No

a. If "YES" describe: _____

- 10. Does the lateral hire serve as an outside director or officer and have any ownership interest in a business of a client? Yes No
 - a. If "YES", complete an **Outside Interest Supplement**

- 11. Has the lateral hire ever provided services to a publicly traded company, or in connection with securities offerings or the registration or sale of securities? Yes No

- 12. Has the lateral hire ever provided forecasts, projections, etc. to sellers or promoters of investments for inclusion in a prospectus or securities sales literature? Yes No

- 13. Has the lateral hire ever provided services in connection with any investment syndication, investment limited partnership, or any form of tax shelter? Yes No

Signature of Applicant: _____

Date: _____