

ACCOUNTANTS & CONSULTANTS PROFESSIONAL LIABILITY D&O (Management Liability) Supplement

All questions must be answered "NO" or explained in writing.

1. In the past *three years*, have **you** been involved in any actual or proposed merger, acquisition, consolidation, tender offer, or divestment? Yes No

2. In the past *five years*, have there been any claims, or are there any claims now pending, against any of **you** proposed for this insurance in that person's capacity as an owner, director, partner, member, or trustee of **you**? Yes No

3. Have **you** or any of **your** owners, directors, officers, partners, members, or trustees ever been involved in, charged with, or have any knowledge of any fact or circumstance involving any of the following which may give rise to a claim under the proposed insurance:
 - a. Antitrust or Unfair Competition allegations, claims, or litigation? Yes No

 - b. Civil or Criminal Actions or Administrative Proceedings arising from an alleged or actual violation of any federal or state antitrust or fair trade laws or regulations? Yes No

 - c. Copyright, Trademark, or Patent related allegations, claims, or litigation? Yes No

 - d. Civil or Criminal Actions or Administrative Proceedings arising from an alleged or actual violation of any federal or state securities laws or regulations? Yes No

 - e. Allegations of stealing competitors' employees? Yes No

 - f. Representative actions, class actions, or derivative law suits? Yes No

 - g. Lawsuits brought by any regulatory body or government agency? Yes No

 - h. Fines or sanctions levied by any regulatory body or government agency? Yes No

Signature of Applicant: _____

Date: _____